

PRODUCTS

AIFMD Annex IV Reporting

FUNDS  AXIS

Proprietary and Confidential





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Overview

AIFMD Annex IV Reporting is the EU-wide harmonised data collection and reporting applicable to Alternative Investment Fund Managers (AIFMs), and is required under the Alternative Investment Fund Managers Directive (AIFMD).

Details on the information to be reported can be found in Annex IV to the Level 2 Regulation, hence the name “Annex IV Reporting.” Annex IV affects Alternative Investment Managers (AIFMs) and Alternative Investment Funds (AIFs), including not only EU AIFMs and EU AIFs but also non-EU AIFMs and non-EU AIFs.

Features

- \ All data aggregated within our technology
- \ Secure data maintenance for all AIFM and AIF data
- \ All calculations are performed within the solution, including:
 - AUM
 - Commitment and gross method exposure
 - Liquidity monitoring
- \ Full audit trail and history
- \ Flexible data upload formats
- \ Integrated with leading administrators
- \ XML conversion for filing with the regulator
- \ **Fully managed service available**

Funds-Axis Cloud Platform

The Funds-Axis Cloud Platform performs all the relevant calculations, automatically applies all the relevant data taxonomies and enables one-click generation of the required regulatory reports.

UPLOAD



Upload Positions
Static Data & Data Feeds



PROCESS



Annex IV Calculation Engine
Data Enrichment
Data Conversion



SUBMIT



Ready for Submission
AIF & AIFM Reports
One-Click .XML, PDF & .XLS Generation

Funds-Axis Managed Services

We also provide a full managed service in respect of all regulatory reporting, including Annex IV. Our team has extensive knowledge of the AIFMD Annex IV regulation and of filing to the different regulators.

STANDARD (included with license costs)

Support & Maintenance

- \ Support
- \ Upgrades
- \ Bug Fixes
- \ Hosting & Server Maintenance
- \ Training

Regulatory & IT Change

- \ Release Management
- \ System Upgrades

OPTIONAL

System Administration

- \ User Administration
- \ Environment Setup
- \ New Funds Set Up
- \ Specification and Testing
- \ Proof of Concept
- \ Custom Development

OPTIONAL

Validation, Testing and Submission

- \ Dashboard Reports for Exception Management
- \ Governance Check & audit trail for control
- \ Validation, Testing and Submission
- \ Validation of all calculations
- \ XML Testing
- \ Submission to Regulators


OPTIONAL (Managed services, subject to detailed SLA)


Data Operations


- \ Data File Preparation
- \ Data Uploads
- \ Data Validation
- \ Data Quality Management
- \ Data Enrichment


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