

PORTALS

ATLAS
FUNDS TRAINING

FUNDS  AXIS

Proprietary and Confidential



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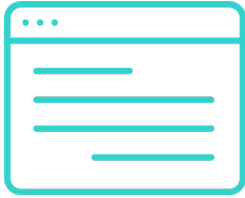
Introduction

Atlas is an online portal allowing you to embed and maintain within your firm up-to-date knowledge of the complex regulations surrounding the global fund industry. The portal provides detailed analysis and training to support your investment compliance and regulatory reporting obligations.

We are committed to providing the most up-to-date courses and rule analysis whilst continually providing new material on the latest regulatory developments.

Our training courses are designed to boost your firm's understanding of the regulatory landscape and reporting requirements across multiple jurisdictions. The courses are web-based allowing for quick and seamless integration into your internal training program. The rules portal allows you to ensure your employee have access at all times to the latest regulatory materials and analysis.

The key features of ATLAS include:



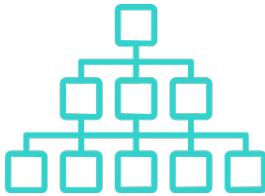
Online Rules Library



Jurisdictional Comparisons



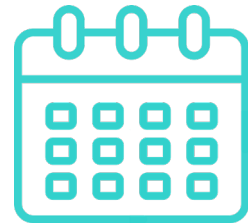
Training Courses



Decision Trees



Regulatory Guides &
Summaries



Regulatory News &
Calendar

Why Choose ATLAS?

The benefits of using ATLAS include:

- \ Access comprehensive regulatory information in one location
- \ Test and build your regulatory knowledge
- \ Track regulatory updates and reporting deadlines
- \ Navigate complex regulatory issues with ease

Fund Regulation

ATLAS includes detailed information on global fund regulations. Each regulation is broken down into relevant sections providing you with everything you need to know about the regulation. It also contains links to primary legislation which has been published by the regulator.

For regulations where there are jurisdictional differences such as AIFMD Annex IV, ATLAS contains the reporting requirements for each European jurisdiction.

In addition the fund regulation content within ATLAS is supplemented with thought leadership from our team of regulatory experts.



Our Coverage



Americas

- \ Canada Investment Rules
- \ US 13F Reporting
- \ US 13H Reporting
- \ US Form PF Reporting
- \ US Money Market Funds
- \ US SEC Liquidity Reporting
- \ US 1940 Act Funds
- \ US Form CPO-PQR
- \ US Form N-PORT



UK & Europe

- \ UK Non UCITS Funds
- \ UK FCA Derivatives Use Reporting
- \ EU AIFMD
- \ EU Long Term Investment Funds
- \ EU PRIIPS and KIDs
- \ EU SFTR
- \ EU Solvency II
- \ Ireland Non UCITS Funds
- \ Luxembourg Non-UCITS Funds
- \ Swiss Pension Funds



Rest of the World

- \ Islamic Funds
- \ Nigeria Pension Funds
- \ Hong Kong Funds
- \ Singapore Funds



Global

- \ Open Protocol (OPERA) Reporting
- \ Position Limits (incl. MIFID II)

Funds Training

ATLAS contains a comprehensive library of training courses on global fund regulations. It consists of a series of recorded training presentations, plus an array of other training materials. The platform is extremely easy to use with users having the ability to learn at their own pace or to a set schedule.

Other features include:



In-built assessments with automated grading



User tracking and reporting functionality



Customisable certificates

Our Online Training Courses

With your ATLAS subscription you will gain access to various courses including:



Introduction to UCITS

- \ Overview of UCITS
- \ UCITS Legislation
- \ UCITS vs AIFMD



UCITS Eligible Assets

- \ Transferable Securities
- \ Open vs Closed End Funds
- \ Eligible Derivatives



Shareholder Disclosure Rules

- \ Major Shareholdings
- \ Short Selling
- \ Takeover



UCITS Derivatives Rules

- \ UCITS Stress Testing
- \ UCITS Back Testing
- \ Prospectus and Report Disclosures



UCITS Investment Rules

- \ Borrowing Rules
- \ Open Ended Funds
- \ 20% Consolidated Exposure Rule



Regulatory News

View the latest news on global fund regulations with ATLAS.

The portal is updated on a daily basis by our dedicated regulatory research team who track the regulatory developments as they happen.

Receive notifications of these updates by email with our bulletin which can be tailored to your requirements.

Regulatory Calendar

There are an increasing number of regulatory reporting deadlines which compliance managers have to deal with.

With the ATLAS regulatory calendar it's never been easier, you will be able to track and understand different reporting deadlines and also navigate and view previous and upcoming targets to ensure you never miss one again!



PROTOCOL G DEADLINE

Reporting Deadline

Risk Aggregation
ord with all
free to adopt.



US FORM ADV REPORTING DEADLINE

US Form ADV Reporting Deadline

Form ADV is an uniform form used by investment advisers to register with both the Securities and Exchange Commission (SEC) and state securities authorities...



US FORM CPO-PQR QUARTERLY REPORTING DEADLINE

US Form CPO-PQR Small & Mid CPOs Quarterly Report

A CPO Member that operates pools for which it has reporting obligations under Part 4 of the CFTC's regulations must, using EasyFile...



US FORM N-MFP MONTHLY REPORTING DEADLINE

US Form N-MFP Monthly Reporting Deadline

Form N-MFP (Monthly Schedule of Portfolio Holdings of Money Market Funds) is required



UCITS FUND DOCUMENTATION REPORTING DEADLINE

UCITS fund documentation relating to use of a benchmark

Irish UCITS management companies to conduct a review of the disclosures relating to

Decision Trees

There are certain parts of global fund regulations which can be difficult to interpret and understand such as whether an asset is eligible under the UCITS directive.

The ATLAS Decision Trees are a tool to support you in navigating these difficulties. They provide you with a framework to supplement your decision making process to ensure that you reach the correct decision.



UCITS

UCITS
ELIGIBLE ASSETS

UCITS
ELIGIBLE MARKETS

PRIIPS

PRIIPS
CATEGORISATION


PRIIPS
MRM AND SRI


AIFMD


AIFMD
REPORTING CODES


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