

PORTALS

GLOBAL  
EXCHANGES

FUNDS  AXIS

Proprietary and Confidential





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# Introduction

Global Exchanges supports some of the world's largest financial institutions with their due diligence of global securities & derivatives markets.

Our user-friendly online portal providing investment professionals with detailed due diligence to support the UCITS Directive Eligible Markets requirements and U.S. SEC Investment Company Act Rule 17f-7.

With global coverage of more than 180 Stock Exchanges in over 90 countries, including Markets in Europe, South America, Africa and Asia, investment and compliance professionals will benefit from our comprehensive, cost-effective single source of regulatory news, analysis, rules and developments.

# Information Included

Each Stock Exchange review gives full consideration to whether a Market is regulated, operates regularly and is open to the public. Our in-depth analysis examines the following areas:

## General Country Information

- \ Currency Convertibility
- \ Country Membership
- \ Country Corruption Ranking
- \ Repatriation of Capital

## Trading Systems & Methods

- \ Securities Traded
- \ Trading Hours
- \ Trading Frequency
- \ Trading Systems Overview

## Transparency Requirements

- \ Transparency & Reporting Overview
- \ Daily Market Information Assessment
- \ Price-Sensitive Information Assessment

## Market Statistics & Liquidity

- \ Market Capitalisation
- \ Market Concentration
- \ Market Trading
- \ Share Turnover Velocity

## Key Risks

- \ Assessment of Key Market Risks

## Market Regulation

- \ Market Regulation Overview
- \ Market Regulator
- \ Market Surveillance
- \ Recognised Investment Exchange Assessment

## Listing Rules

- \ Listing Rules Overview
- \ Capital Requirements
- \ Prospectus & Financial Statements
- \ Delisting, Termination & Sanctions

## Broker Rules

- \ Brokers & Members Overview
- \ Market Makers
- \ Broker Capital Requirements
- \ Powers of Supervisory Authority

## Clearing and Settlement

- \ Clearing and Settlement Summary
- \ Settlement Cycle
- \ Central Counterparty
- \ RTGS v Netting

# Global Coverage

Global Exchanges covers 180 stock exchanges in over 90 jurisdictions including key markets in the following regions:

## Europe

Armenia, Belarus, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Guernsey, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Macedonia, Malta, Moldova, Netherlands, Norway, Poland, Portugal, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey, Ukraine and United Kingdom.

## Americas

Argentina, Bermuda, Brazil, Canada, Cayman Islands, Chile, Columbia, Costa Rica, Ecuador, Jamaica, Mexico, Panama, Peru, Trinidad & Tobago, United States, Uruguay and Venezuela.

## Asia

Azerbaijan, Bahrain, Bangladesh, China, Georgia, Hong Kong, India, Indonesia, Israel, Japan, Jordan, Kazakhstan, Korea, Kuwait, Kyrgyzstan, Laos, Lebanon, Malaysia, Oman, Pakistan, Palestine, Philippines, Qatar, Russia, Saudi Arabia, Singapore, Sri Lanka, Taiwan, Thailand, United Arab Emirates, Uzbekistan and Vietnam.

## Africa

Botswana, Egypt, Ghana, Ivory Coast, Kenya, Malawi, Mauritius, Morocco, Namibia, Nigeria, South Africa, Tanzania, Tunisia, Uganda, Zambia and Zimbabwe.



# Key Features

- \ 180+ stock market reviews on a single platform
- \ Comprehensive coverage including emerging markets in Europe, South America, Africa and Asia
- \ Full consideration of all UCITS eligibility requirements
- \ Key risks summaries updated weekly
- \ Continuous dialogue with regulators and exchanges
- \ Sophisticated platform – daily email alerts, compare and download pdfs and excels.
- \ Daily news updates
- \ Review source material available to download ensuring full transparency



# Benefits

- \ Reduce due diligence costs through increased efficiency
- \ Satisfy your UCITS requirements
- \ Save time analysing stock market eligibility
- \ Utilise the expertise of our regulatory research team to handle any additional eligible market queries
- \ Instant access to portal
- \ Bespoke packages available

# News

Global Exchanges provides its subscribers with the latest stock exchange news.

Our regulatory research team are constantly tracking the latest developments across all the markets we cover with news updates being published daily to the website.

We ensure we keep on top of all the market developments by monitoring over 3,000 sources including:

- \ Exchanges and Clearing Houses
- \ Custodians
- \ Regulators; and
- \ Other websites including news providers and credit rating agencies

Global Exchanges subscribers can also choose to receive the latest news through a daily email bulletin.



# Market Comparison Function

Looking to view or compare specific market information for one or more Exchanges?


Global Exchanges can help through its market comparison function.


Simply select the information and markets you want to view or compare and our system will generate the information for you at the click of a button.





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