

INTERNAL

CIS research

FUNDS  AXIS

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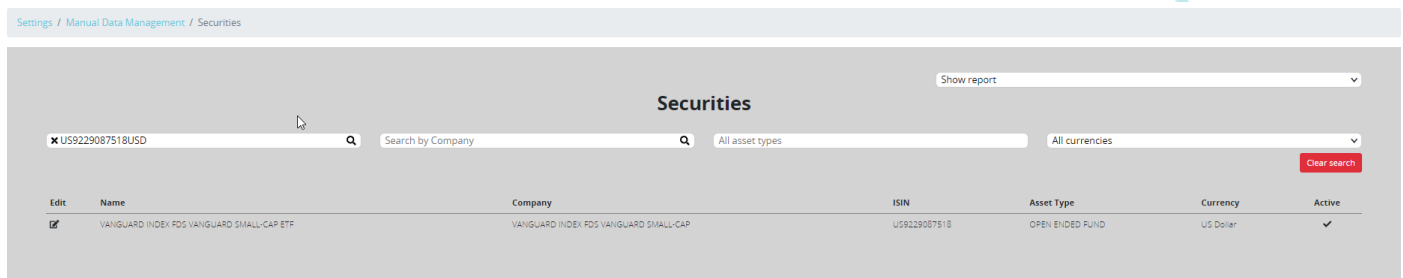


1. Background

The purpose of this document is to outline the steps that need to be completed to ensure CIS research accurately on Highwire.

2. Updating CIS details in Highwire.

1. Take the ISINCCY identifier for the fund whose CIS details you need to update and search for it in the **Manual Data Management** section in Highwire.
2. To access this, go to Setting -> Manual Data Management -> Securities.



3. Click the edit button.
4. The sections of the security master that you need to update for CIS research are:
 - a. Asset Manual Classification
 - b. CIS
 - c. Country
 - d. Approval
5. In **Asset Manual Classification** you need to update the field **Asset Class**, as this relates to the underlying asset class that the fund invests into.
6. In **CIS** you need to update the following fields:
 - a. CIS Type
 - b. Redemption Frequency
 - c. Is ETF
 - d. Is_EU_UCITS
 - e. Is_UCITS Equivalent
 - f. Is open ended
 - g. Max Investment in Other CIS
 - h. Is_UCITS
 - i. Is_NURS Equivalent
 - j. Is Regulated
7. In the section **Country** you need to update the Country of Economic Exposure code.
8. Finally in the **Approval** section, you need to include the link to prospectus website you have used to research the CIS.
9. All information that these to be populated is information that can be obtained from a fund's prospectus.

3. Highwire Reports

A full breakdown of the CIS invested into held across your portfolios can be found by going to the Unity X module in Highwire and selecting the Holding Analysis module.

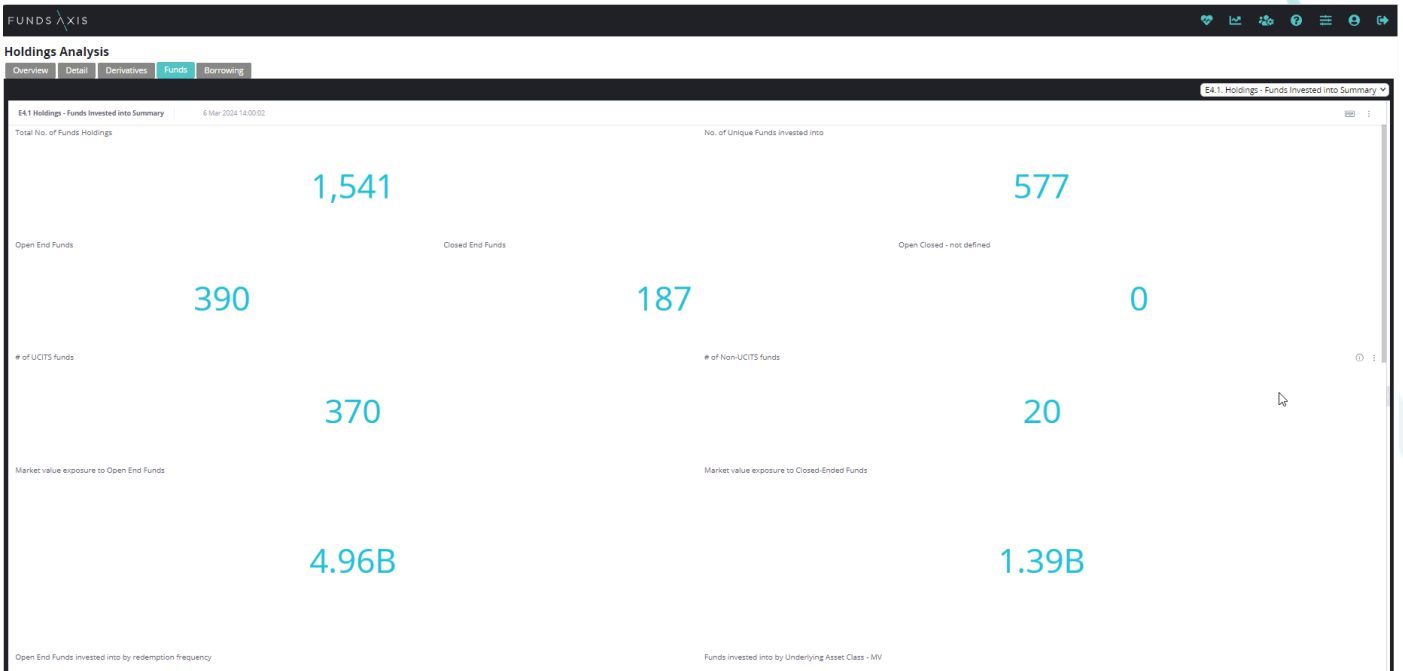


Within Holding Analysis, select the Funds tab. Within the Funds tab there are two reports that you can review:

- E4.1. Holdings – Funds Invested into Summary
- E4.2. Holdings – Funds invested into Detail.

E4.1. Holdings – Funds invested into Summary.

These reports will provide a high-level overview of the funds invested into across your portfolios.



E4.2. Holdings – Funds invested into Detail.

This report will provide all the relevant information for CIS and closed ended funds invested into across your portfolios.

Holdings Analysis

Overview | Detail | Derivatives | **Funds** | Borrowing

E4.2. Holdings - Funds invested into Detail

Report Date: 6 Mar 2024 14:00:02

Date: 14 February 2024

Open ended funds invested into - detailed report

Date	Portfolio Name	Asset Type Sub Group Name	Asset Type	Iscurrencycode	Security ISIN	Security Description	Issuename	Country of economic exposure	idstype	Is_Ucdts	Is_ucdsEquivalent	Isregulated	Isopenended	redemptionfrequencyname_code	maxinvestmentmonth
14/02/2024		Funds	OPEN ENDED FUND					CH	N/A	false	false	false	true	Yearly	100
								US	UCITS	true	true	true	true	Daily	10
								North America	UCITS	true	true	true	true	Daily	10
								North America	UCITS	true	true	true	true	Daily	10
								North America	UCITS	true	true	true	true	Daily	10
								JP	UCITS	true	true	true	true	Daily	10
								Asia ex Japan	UCITS	true	true	true	true	Daily	10
								Global EM	UCITS	true	true	true	true	Daily	10
								Global	UCITS	true	true	true	true	Daily	10
								Global	UCITS	true	true	true	true	Daily	10
								EU	UCITS	true	true	true	true	Daily	10
								Global	UCITS	true	true	true	true	Daily	10
								Global	UCITS	true	true	true	true	Daily	10
								Global	UCITS	true	true	true	true	Daily	10
								Global	UCITS	true	true	true	true	Daily	10
								Global	UCITS	true	true	true	true	Daily	10

1-15 of 1026 Results

Closed ended funds invested into - detailed report

Date	Portfolio Name	Asset Type	Iscurrencycode	Security ISIN	Security Description	Issuename	Domicile	Country of economic exposure	exchange_mic	exchangename	Asset Class	Isregulated	Instrumentapprovalbybusiness	Quantity	MY (Local)	MY
14/02/2024		INVESTMENT TRUSTS					GG	GB	XLON	LONDON STOCK EXCHANGE	Equities	Financial services	N/A	456.612	456.424.02	
		INVESTMENT TRUSTS					GG	GB	XLON	LONDON STOCK EXCHANGE	Equities	Financial services	N/A	446.306	361.507.86	
		INVESTMENT TRUSTS					GB	GB	XLON	LONDON STOCK EXCHANGE	Physical Property	Real estate	N/A	651.173	2.430.503.23	
		INVESTMENT TRUSTS					GB	GB	XLON	LONDON STOCK EXCHANGE	Physical Property	Real estate	N/A	97.101	1.910.047.3	